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| --- | --- |
| **OFFICE USE ONLY:** REB.MA.09.08.2019 version[ ]  **Incomplete** [ ]  **Conditional Clearance**  [ ]  **Cleared** [ ]  **Withhold Clearance**  **Review leve**l: [ ]  **Full Socio-behavioral** [ ]  **Full Biomedical** [ ]  **Delegated** [ ]  **Executive** [ ]  **REBEL** | **Protocol Reference Numbers**:REB#: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ERSO#:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |



***APPLICATION FORM FOR RESEARCH ETHICS REVIEW***

*RESEARCH INVOLVING HUMAN PARTICIPANTS*

Research Ethics Board

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| --- |
| **SECTION A – PROJECT OVERVIEW** |

**Title of Research Project:**

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| --- |
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Level of research: [ ]  Faculty [ ]  Post-Doctoral [ ]  Doctoral [ ]  Master’s [ ]  Undergraduate

[ ]  Other (Describe):

**Principal Investigator:**All applications require a single primary investigator with whom the REB will communicate

|  |  |
| --- | --- |
| Title:        | Name:       |
| Department:       | Institution/Agency/Organization:       |
| Mailing address:       |
| Phone:        | Fax:       | Email:       |

***Alternate Contact*** *(e.g., Research Coordinator)****:***

|  |
| --- |
| Title:       Name:       |
| Phone:        | Fax:       | Email:       |

**\*Co-Investigators:**

Are co-investigators involved? [ ]  No [ ]  Yes *\*If YES, please fill out additional information in SECTION B(2).*

***Project start and end dates (Year/Month/Day):***

**! NOTE !**

Data collection **SHALL NOT** begin until REB clearance is granted.

Estimated start date for this project: YY/MM/DD

Estimated start date for data collection: YY/MM/DD

Estimated completion date for this project: YY/MM/DD

Estimated date for feedback to participants: YY/MM/DD

**DETERMINATION OF LEVEL OF REVIEW**

Based on your risk assessment in sections 17 and 18 of the application:

Indicate the highest level of group risk associated with this research: [ ]  Low [ ]  Medium [ ]  High

Indicate the highest level of method risk associated with this research: [ ]  Low [ ]  Medium [ ]  High

Does your application include any of the following:

[ ]  Use of deception or other departures from general informed consent

[ ]  Vulnerable population or limited capacity in exercising autonomy (children, cognitively impaired individuals, prisoners)

[ ]  First Nations, Metis, Inuit individuals

[ ]  Use of biological elements or clinical trials

[ ]  Other elements in protocol raise risks to greater than minimal level

# Checklist

**Please attach the following items, if applicable. All materials should be submitted in a single electronic file.**

**! NOTE !**

Please ensure ALL fields in the application are completed.

**Incomplete forms will not be accepted for review.**

[ ]  Letters of permission allowing research to take place on site

[ ]  Approval/Clearance decisions from other Research Ethics Boards

[ ]  Research contracts or other agreements

[ ]  Questionnaires, Instruments or other measures

[ ]  Permission letters for obtaining personal information

[ ]  Recruitment materials: Posters/flyers, social media posts, e-mail or verbal scripts, Participant Pool ads, etc.

[ ]  Consent Form

[ ]  Letters of Information

[ ]  Consent for Audio/Visual Taping Form

[ ]  Parental/Guardian Information and Consent Form

[ ]  Assent Form

[ ]  Debriefing Script or Letter: Needed only if deception is used in the study.

**Please check**

[ ]  All signatures have been included for all investigators

[ ]  TCPS2 Core certificates for the Principal Investigator and all Co-Investigators have been included

[ ]  Relevant certifications such as Health and Safety have been included

**Please submit**

[ ]  **One** complete paper copy with original signatures to the Research Ethics Board office

[ ]  Anelectronic version of the application and all supplementary documents and appendix in a **single file (.pdf)**

 to ETHICS@uwindsor.ca

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| **SECTION B – GENERAL INFORMATION** |

**1. FACULTY SUPERVISOR -or- FACULTY SPONSOR** (if applicable)

All student research must identify a single primary faculty supervisor, to whom all correspondence will be copied.

|  |  |
| --- | --- |
| Title:        | Name:       |
| Department/Agency:       |
| Mailing address:       |
| Phone:        | Fax:       | Email:       |

**2. CO-INVESTIGATORS** (if applicable)

|  |  |
| --- | --- |
| Title:        | Name:       |
| Department/Agency:       |
| Mailing address:       |
| Phone:        | Fax:       | Email:       |

|  |  |
| --- | --- |
| Title:        | Name:       |
| Department/Agency:       |
| Mailing address:       |
| Phone:        | Fax:       | Email:       |

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| Title:        | Name:       |
| Department/Agency:       |
| Mailing address:       |
| Phone:        | Fax:       | Email:       |

*\*Please append additional sections if necessary.*

**3. LOCATIONS WHERE THE RESEARCH WILL BE CONDUCTED**

**! NOTE !**

 If the research is to be conducted at a site requiring administrative clearance/ or permission (e.g. school, community agency, other university campus, etc.), please include documentation with the application that permission/clearance has been received. It is the responsibility of the researcher to determine whether additional approvals are required prior to initiating the research project.

*(Please indicate all that apply; provide the address for any site*

*that is not located at the University of Windsor or H*ô*tel Dieu-Grace Health Care)*

[ ]  University of Windsor

[ ]  *H*ô*tel* Dieu-Grace Health Care

[ ]  Band Council

[ ]  Hospital - (*specify site[s])*

[ ]  School board/community agency - (*specify site[s])*

[ ]  Community within the Windsor-Essex County - (*specify site[s])*

[ ]  International - (*specify site[s])*

[ ]  \*Other - (*specify site[s])*

\*Please complete 4.0 below

**4. OTHER APPROVALS**

Do any of the other institutions/sites require permission for the research to take place on site or on-line? [ ]  No [ ]  Yes

*If yes, please attach documentation of approvals/permissions obtained.*

Do any of the institution(s)/site(s) require administrative approval? [ ]  No [ ]  Yes

*(for H*ô*tel Dieu-Grace protocols, check “Yes” for both and include the HDGH letter of no-objection)*

Do any of the institution(s)/site(s) have an ethics review board? [ ]  No [ ]  Yes

Has any other REB cleared this project? [ ]  No [ ]  Yes

*If* ***Yes****, submit the original application and provide a copy of the clearance letter.*

If **No**, will any other REB be asked for clearance? [ ]  No [ ]  Yes

If **Yes**, from which REB(s):

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**5. FUNDING OF THE PROJECT**

This section refers to any funding received to conduct research. Scholarships typically support students rather than projects and should not be indicated here (unless the scholarship comes with a specific research funding component).

(a) Please select from the following:

|  |  |
| --- | --- |
| [ ]  Funded  | Agency:      **! NOTE !** Please ensure that the grant application information is accurate. This includes having the ***proper and exact*** ‘title’ as it appears on your grant application. |
| Title of project associated with funding:       |
| Finance Grant Acct #:       |
| Funding Dates:       |
| [ ]  Applied for funding \*Please note you must contact the REB once funding has been received.  | Agency:       |
| Title of project associated with funding:       |
| Submission date:       |
| [ ]  Unfunded  |  |

***\*If one protocol is to cover more than one grant, please include all fund/grant numbers.***

(b) For funded research, will more than one protocol be submitted to cover all research funded by the respective grant?

 [ ]  No [ ]  Yes

**6. CONTRACTS**

Is there a funding agreement or research contract associated with the research? [ ]  No [ ]  Yes

***\*If ‘Yes’, please include a copy of the agreement/contract with this application.***

**! NOTE !**

An annual Progress **Report** must be submitted to the Research Ethics Coordinator if your research project extends beyond one year.

A **Final Report** must be submitted when the project is completed.

Failure to submit reports could result in research funds being withheld and/or REB review of subsequent protocols delayed.

**7. REB REVIEW OF ONGOING RESEARCH**

(a) Are there any specific characteristics of

 this research that require additional

 review by the REB while the research is

 ongoing? [ ]  No [ ]  Yes

 If **Yes**, please explain:

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(b) Is this part of a larger cleared Standard Operating Procedure (SOP)? [ ]  No [ ]  Yes

 \*If “Yes”, please provide the corresponding REB Protocol Number xx-xxx

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**8. PRIOR SCHOLARLY REVIEW**

*(Please check one of the following)*

[ ]  The research has been formally reviewed by a thesis/dissertation committee

If yes, please list the members of the committee:

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**9. CONFLICT OF INTEREST/DUAL ROLES**

(a) Will the researcher(s), members of the research team, and/or their partners or immediate family members receive any personal benefits (e.g. financial benefit such as remuneration, intellectual property rights, rights of employment, consultancies, board membership, share ownership, stock options, etc.) as a result of -or- in connection to this study?

 [ ]  No [ ]  Yes

If **Yes**, please describe the benefits (do not include conference and travel expense coverage or other benefits which are standard to the conduct of research):

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(b) Describe any restrictions regarding access to or disclosure of information (during or at the end of the study) that has been placed on the investigator(s). These include controls placed by sponsors, funding sources, advisory or steering committees.

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(c) Please describe any dual roles that may influence--or may be perceived as having an influence--on the research, researchers or participants. Describe any preceding, current or anticipated relationship between the researcher(s) and those individuals/groups being researched (e.g. instructor-student; manager-employee; minister-congregant; co-investigators; organization-client; principal-teacher; doctor-patient; academic supervisor-participant; participant-participant)

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***All relationships identified in 9c must be described and addressed under 18b (risks) and 18c (management of risks) in the application.***

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| **SECTION C – SUMMARY OF THE PROPOSED RESEARCH** |

*\*Please include a list of appendices for all additional materials submitted***.**

**10. RATIONALE**

Describe the purpose and background rationale for the proposed project, and the hypotheses or research questions to be examined in this study. This background should be succinct but include all information that an educated layperson needs to understand the purpose of the proposed project and the need for the project. Please place the study within a relevant scholarly literature and context.

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**11. METHODS**

Please indicate all the research methods that apply:

[ ]  Action Research [ ]  Ethnography

[ ]  Observation [ ]  Survey

[ ]  Documentary/Filmmaking [ ]  Focus Group

[ ]  Experimental lab study [ ]  Interview

[ ]  Oral/Life history [ ]  Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[ ]  Experimental behavioral study

[ ]  Online/Internet Research

**If using Online/Internet Research as a method, please answer the following questions:**

What method will be used:

(check all that apply) [ ]  Survey

 [ ]  Interviews

 [ ]  Observations

 [ ]  Secondary data collection

How will the data be collected: [ ]  Email Correspondence

 [ ]  Chat Room/Social Networking Site Observation

 [ ]  Bulletin Board Posting(s)

 [ ]  BB Collaborate

[ ]  Skype

 [ ]  BB Collaborate

 [ ]  LMS

 [ ]  Other:

Does this study make use of an internet survey service (e.g., Qualitrics, Survey Monkey, UWindsor, etc.)?

 [ ]  Yes

 [ ]  No

If Yes, please provide the following information:

What is the name of the internet survey service?

What is the URL for the survey (s)?

**Procedural overview**

Provide a **step-by-step** description of all procedures that will occur between the point of first contact with participants until the completion of the study. Please include the number of points of contact and the total time required to complete the procedures.

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Do any of the methods involve:

 Audio Recording? [ ]  No [ ]  Yes

 Still Recording? [ ]  No [ ]  Yes

 Video Recording? [ ]  No [ ]  Yes

 Digital recording (in any form)? [ ]  No [ ]  Yes

If **Yes** to any of the above, please describe their use in the project and address issues related to confidentiality and data security for recorded information in Section 24 of this application. Please note the specific recording devices to be used. (e.g. digital recorder, cellphone etc.)

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Please describe each of the distinct methods used in the research protocol including the corresponding data collection procedures and tools. Provide figures, diagrams, or pictures as required to completely describe the method to an educated layperson. Please describe any measures and attach all materials and measures in an appendix just as they will appear to the participants. If online, please provide a printout or a link to the survey.

Method #1:

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Method #2 (if applicable):

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*Add additional methods as relevant*

Please provide 6-8 key words to identify this project.

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**12. DECEPTION**

*\*Researchers who complete this section are also required to complete SECTION C (22) (c) and SECTION C (22) (d)*

Will deception be used in this study? [ ]  No [ ]  Yes

If **Yes,** please describe and justify the need for deception.

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**13. PARTICIPANTS/INFORMANTS**

Describe the participants who will be recruited for the study and about whom personal information will be collected (i.e., numbers, age, special characteristics, etc.). Describe the size of the group from which participants will be recruited, the total number needed for the research, and the minimum needed for the research to succeed. Please describe inclusion and exclusion criteria. Where the research involves extraction or collection of personal information, please describe what type of information will be obtained and from whom and if permissions are necessary.

[ ]  This study will be using the UWindsor Psychology Participant Pool [ ]  Participant Pool screener form attached

[ ]  This study will be using an Internet Participant Pool (e.g., Qualtrics, RSearch, etc.)

Please list all Internet Participant Pools used here:

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**14. RECRUITMENT**

Please describe how and from where the participants will be recruited. Describe all steps from the point of first contact with the participant until the beginning of the consent process. Attach copies of all materials used for recruitment purposes (e.g., posters, advertisements, Participant Pool ad, letters, emails, oral scripts, telephone scripts, listserv postings, social networking site postings, website/Internet advertising, etc.). Where participant observation is to be used, please explain the form of “insertion of the researcher into the setting” that will be used (e.g. living in a community, visiting on a bi-weekly basis, attending organized functions). If applicable, attach letter(s) of permission from organizations where research is to take place.

[ ]  All recruitment materials have been included.

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**15. EXPERIENCE**

Please provide a brief description of the researcher’s/research team’s experience **with each method/type of applicable research**. Include all members of the team, including faculty supervisors. How will requisite research skills be obtained for research team members new to this type of research? (Note: This section asks for a description of the experience specific to the research methods described in this protocol and does not require additional details regarding professional qualifications outside of these methods or evidence of publication or citations)

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**16. COMPENSATION/INCENTIVE**

**! NOTE !**

Researchers should consider some form of token compensation in appreciation for participation. However, compensation should not create undue influence or the disregard of risks related to the research.

(a) Will participants receive compensation for participation? [ ]  No [ ]  Yes

*(Please check all that apply)*

Financial[ ]

In-kind [ ]

Draw [ ]

Psychology Participant Pool Bonus Points [ ]

Other:

(b) If compensation is provided, please provide the source of funding for the compensation/incentive:

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(c) If there is a cost to participants such as parking, materials or transportation please justify why these are not compensated:

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(d) If compensation is provided, please provide details and justification for the amount/value of the compensation offered:

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(e) If using an Online/internet method describe how participant data and compensation data will be managed:

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(f) Where there is a withdrawal clause in the research procedure and a participant chooses to withdraw, how will compensation be handled?

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| **SECTION D – DESCRIPTION OF THE RISKS AND BENEFITS OF THE PROPOSED RESEARCH** |

**17. POSSIBLE RISKS**

Please indicate potential risks that the participants as individuals or as part of an identifiable group or community might experience by being part of this research project. Checking ‘Not Present’ indicates that there are no such risks associated with the methods. ‘Low’ meets the definition of minimal risk as set out in the TCPS2. Please indicate which method is being assessed; the ordering of the list should correspond with the methods as outlined in Section 11.

Method #1:

(a) Physical risks (including any bodily contact or administration of any substance)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

**! NOTE !**

A **separate** risk assessment is required for **each method** described in SECTION C (11). Please append additional sections as necessary.

(b) Psychological/emotional risks (feeling uncomfortable, embarrassed, anxious or upset)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(c) Social risks (including possible loss of status, privacy and/or reputation)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(d) Economic risks (including possible costs, loss of job, money, fees)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(e) Dual/multiple relationship with study participants?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(f) Data security (i.e., risk to participant from data exposure)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(g) Tied to deception involved in the study? (See DEBRIEFING section below)

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

Method #2 (if applicable):

(a) Physical risks (including any bodily contact or administration of any substance)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(b) Psychological/emotional risks (feeling uncomfortable, embarrassed, anxious or upset)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(c) Social risks (including possible loss of status, privacy and/or reputation)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(d) Economic risks (including possible costs, loss of job, money, fees)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(e) Dual/multiple relationship with study participants?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(f) Data security (i.e., risk to participant from data exposure)?

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

(g) Tied to deception involved in the study? (See DEBRIEFING section below)

 [ ]  Not Present [ ]  Low [ ]  Medium [ ]  High

*Add additional risk matrixes for methods as needed.*

**18. RISK ANALYSIS**

For each method listed above, describe the associated risks and their level in 18 (a) below. Please describe how each risk is managed separately in 18(b).

(a) Briefly describe each risk associated with group vulnerability and methods used in this research. Address any actual known risks associated with the research, and any risks that might be reasonably perceived by the participant. Please refer back to Section 17.0.

Method #1:

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Method #2:

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(b) Please describe how each of the potential risks described in 18a will be managed and/or minimized.

Method #1:

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Method #2:

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**! NOTE !**

**Researchers are required to report to the REB any unanticipated issue or event that may increase the level of risk to participants or has other ethical implications that may affect participants welfare. Below are the timelines within which unanticipated events should be reported to the REB with a description of the event and the researchers’ response.**

**Unanticipated events:** These are events which may not directly affect participants, but do have a negative affect on

protocol procedures or other study processes. These should be reported to the REB within 10 business days of occurrence.

**Adverse events:** These are events which affect participants welfare or raise risks to the participants which

may or may not be directly related to the research. These should be reported to the REB within 3 business days of occurrence. Researcher may want to suspend the research until discussing the event with the REB.

**Serious adverse events:** These are events which result in harm to a participant, which may or may not be directly related to

the research or raise physical or mental health risks within the research study. These should be reported to the REB

within 24 hours of occurrence. Researchers must suspend the research until the event is cleared by the REB.

**19. POSSIBLE BENEFITS**

Discuss any potential direct benefits to the participants from their involvement in the project; these might include education about research methods, useful knowledge gained about self, etc.

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Comment on the (potential) benefits to the scientific/scholarly community or society that would justify involvement of participants in this study.

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| **SECTION E – THE INFORMED CONSENT PROCESS** |

**20. DESCRIPTION OF THE CONSENT PROCESS**

**Participants Capacity to Provide Informed Consent:**

*Indicate and check the box(s) which best apply to your participants:*

|  |  |
| --- | --- |
| **Competent** | **Non-Competent** |
| [ ]  **Competent Adult** [ ]  **Vulnerable Population (See TCPS2 criteria)** | [ ]  **Non-Competent Adult** [ ]  **Consent from authorized party will be obtained**[ ]  **Assent from the participant will be obtained**  |
| [ ]  **Competent Youth**[ ]  **Consent of both youth and parent/guardian required** [ ]  **Consent of youth required and parents informed**[ ]  C**onsent of youth required and parent/guardian not**  **informed**  | [ ]  **Non-Competent Youth**[ ]  **Consent from parent/guardian**[ ]  **Assent from the youth will be obtained** |
| [ ]  **Competent Children**[ ]  **Consent of parent and child**[ ]  **Other:**       | [ ]  **Non-Competent Children**[ ]  **Consent from parent/guardian**[ ]  **Assent from the child will be obtained** |

(a) Indicate if there is a relationship between participants and either of the following:

Person obtaining consent: [ ]  No [ ]  Yes

Investigator(s): [ ]  No [ ]  Yes

If YES, what steps will be taken to avoid the perception of undue influence:

|  |
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|  |

(b) Describe the process that the investigator(s) will be using to obtain informed consent from the point of first contact with the potential participant. Obtaining consent involves more than providing a form to read. If a written consent will not be used, or if signed consent will not be obtained, please explain (e.g. discipline, cultural appropriateness, enhanced risk etc.) and describe how consent will be ***documented***. If using an **Online/internet survey method** please explain how informed consent will be obtained (e.g, Electronic information sheet with ‘check box’ for consent, email with name, consent implied through submitting survey, etc.). Please note it is the quality of the informed consent, not the format, that is important. If the research involves extraction or collection of personal information as secondary data, please describe how consent from the individuals or authorization from the custodian will be obtained and documented. Address how participants can ask questions or have their questions answered before they provide consent, and how much time they will be given to review the information before being asked to provide consent.

**! NOTE !**

For information about the required elements in the consent form, please refer to [**http://www.uwindsor.ca/reb**](http://www.uwindsor.ca/reb)

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*\*Where applicable, please attach a copy of the Information Letter/Consent Form, Audio/Video Recording Consent Form, the content of any telephone script, letters of administrative consent or authorization and/or any other material that will be used in the informed consent process.*

(c) If the Title of the Project that is to be communicated to participants (e.g. on Consent Form/ Letter of Information) is different from the Title of the Project indicated in this application, please provide the alternate project title here:

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(d) Please provide the rationale for difference in Title:

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(e) **Ongoing Consent** is required if the research occurs over multiple occasions or over an extended period of time.

Does the research occur over multiple occasions and/or over an extended period of time? [ ]  No [ ]  Yes

If “Yes”, please describe the process of how you intend to obtain *ongoing* consent (e.g. verbal assent, additional form, etc.)

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**21. CONSENT BY AN AUTHORIZED 3rd PARTY**

(a) If the participants are children, or are not competent to consent, describe the proposed alternate source of consent.

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*\*Please attach a copy of any permission/information letters to be provided to the person(s) providing the alternate consent as well as the assent process for the actual participants.*

(b) If the research is taking place within a recognized community or an organization that requires that formal consent be sought prior to the involvement of individual participants, explain how the researcher will document how consent has been obtained. Describe this consent process and attach any relevant documentation. If consent will not be sought, please provide a justification and describe any alternative forms of consultation that may take place.

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*\*Please attach any relevant documentation.*

**22. POST-STUDY INFORMATION / FEEDBACK / DEBRIEFING**

(a) For studies that are not deceptive, briefly describe the process and nature of any information that will be given to participants immediately upon completing the study and the rationale for providing this information (e.g. resource list, links, more information about the study, etc.).

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| --- |
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(b) How will participants be informed of study results? (i.e. <https://scholar.uwindsor.ca/research-result-summaries/>)

|  |
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(c) **(Only for researchers who have completed SECTION C (12)).** If deception will be used in the research study, please explain the debriefing process and what information or feedback will be provided to participants to remedy the use of the deception.

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*\*Please attach a copy of the written debriefing form (if applicable).*

(d) **(Only for researchers who have completed SECTION C (12)).** Please provide the process by which participants will be provided a debriefing or description of the deception that meets the TCPS2 criteria of informed, sensitive, and tailored to participants. Describe how consent will be acquired to retain the data obtained, or how participants can withdraw their data following the debriefing. How will the participants and/or communities be informed, unambiguously, that deception has terminated?

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**! NOTE !**

Information about participant withdrawal **must** be provided on the Consent Form or in the consent process.

**23. PARTICIPANT WITHDRAWAL**

(a) Please describe how the participants will be informed about their withdrawal rights. Outline the procedures which participants will follow to withdraw from the study or withdraw their data. If Oneline/internet research is a component of this study describe the procedures(s) through which participants will be able to exercise their withdrawal rights (e,g, close browser before submitting, click on a ‘Withdraw’ or ‘Exit Survey’ button, etc.).

Method #1:

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Method #2:

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 (b) Indicate what will be done with the participant’s data and any consequences which withdrawal may have on the participant.

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(c) Please describe if participants will not have a right to withdraw from the study or cannot withdraw beyond a certain point.

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(d) Please describe when or at what point, participants will no longer be able to request to withdraw their data. This should be defined by a specific date, or by the occurrence of a particular event (e.g., upon submission of survey data, after completion of the interview, date at which participants’ data are anonymized, etc.)

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| **SECTION F – SAFEGUARDS FOR PROTECTING PARTICIPANTS AND DATA** |

**24. CONFIDENTIALITY/ANONYMITY**

(a) Will the data be treated as confidential? [ ]  No [ ]  Yes

(b) Will the participant be anonymous to the researcher or anyone associated with the research? [ ]  No [ ]  Yes

(c) Describe the procedures to be used to ensure anonymity/confidentiality of participants or informants (where applicable) -or- the confidentiality of data during the conduct of research and dissemination of results.

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(d) Explain how identifiers or identifiable information including written records, video/audio recordings, contact information, triangulated identifiers, linking codes or master lists will be secured, how long they will be retained, and how they will be destroyed. Describe how identifiable data will be de-identified or anonymized.

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(e) If participant anonymity or confidentiality is not appropriate to this research project, please explain.

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(f) Describe any limitations to protecting the confidentiality of participants whether due to the law, the methods used, the nature of the sample population, or other reasons (e.g., duty to report, contract obligations, etc.)

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(g) If the data cannot be de-identified or anonymized, please describe the confidentiality plan.

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**! NOTE !**

If you have completed a Research Data Management Plan, submit the plan as an appendix and refer to it in lieu of answering these questions.

**25. DATA MANAGEMENT PLAN**

1. Describe how the data will be stored during data collection and analysis.

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(b) Identify all parties who will have access to the data and the server (if using Online/internet research) during the course of the study.

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(c) Describe who has custodianship of the data and documentation once the study is complete and who will take responsibility for the subsequent use of the data or archiving.

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(d) Describe the length of time the data will be kept on the server and the process of downloading, storage and disposal of identifying information or sensitive data.

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(e) Do you anticipate depositing the data or archiving the data with a Tri-Council compatible academic data repository?

[ ]  No [ ]  Yes

**26. PRIVACY REGULATIONS AND APPLICABLE LAWS**

For research involving extraction or collection of personal information, provincial, national and/or international laws may apply. **My signature as Principal Investigator or other named researcher listed in section B2 in this application, in Section G of this protocol form, confirms that I (We) understand and will comply with all relevant laws governing the collection and use of personal information in research.**

**! NOTE !**

**Confidentiality**: The safeguarding of information from unauthorized access, use, disclosure, modification, loss or theft.

**Anonymity**: Individuals cannot be identified by the researchers, research team or anyone in the research project at any point, nor can they be identified with any of the information. No identifiers are associated with any of the data.

**Anonymization:** Any process by which identifiable information is rendered unidentifiable.

Please review the TCPS2 for information on distinguishing anonymity and confidentiality.

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| **SECTION G - SIGNATURES** |

**All researchers must sign below in order for this application to be processed and reviewed.**

As the **Principal Investigator** on this project, my signature confirms that I will ensure that all procedures performed under the project will be conducted in accordance with all relevant University, provincial, national and international policies and regulations that govern research involving human participants. Any deviation from the project as originally cleared will be submitted to the Research Ethics Board for clearance prior to its implementation.

**! NOTE !**

**Faculty Investigators**: One complete hard copy application with original signatures of the Principal Investigator and all Co-investigators must be provided to the REB. One electronic version (.pdf) must be submitted by e-mail.

**Student Investigators**: One complete hard copy application with original signatures of the Student Principal Investigator and the Faculty Supervisor/Sponsor must be provided to the REB. One electronic version (.pdf) must be submitted by e-mail.

As an **Affiliated Researcher** or **Co-Investigator** listed in Section B2 on this project, my signature confirms that I will ensure that all procedures performed under the project will be conducted in accordance with all relevant University, provincial, national and international policies and regulations that govern research involving human participants. Any deviation from the project as originally cleared will be submitted to the Research Ethics Board for clearance prior to its implementation

For **student researchers**, my signature confirms that I am a registered student in good standing with the University of Windsor. My project has been reviewed and cleared by my advisory committee (where applicable). If my status as a student changes, I will inform the REB.

I agree to comply with the Tri-Council Policy Statement and all University of Windsor policies and procedures, governing the protection of human participants in research, including, but not limited to, ensuring that:

* the project is performed by qualified and appropriately trained personnel in accordance with REB protocol;
* no changes to the REB cleared protocol or consent form/statement are implemented without notification to the REB of the proposed changes and receipt of the subsequent REB clearance;
* significant adverse effects are promptly reported to the REB within 5 working days of occurrence; and
* at a minimum, a progress report is submitted annually or in accordance with the terms of certification.

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| Signature of Principal Investigator:       Date:       Signature of Student Principal Investigator:       Date:       Signature of Co-Investigator (s):       Date:       Signature of Co-Investigator (s):       Date:       (Add additional fields as needed) |

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| **SECTION H – FACULTY SPONSORS** |

**For Undergraduate or Graduate Students, the signature of the Faculty Supervisor is required.**

**For Post-Doctoral Fellows, the signature of a Faculty Sponsor is required.**

**For Visiting Professors or Researchers, the signature of a Faculty Sponsor may be required; please check with the REB.**

I certify that the application has been completed in full, that the information provided in this application is complete and correct, and approve the scientific merit of the research project and this Ethics Review application.

I understand that as principal **Faculty Supervisor**, I have ultimate responsibility for the conduct of the study, the ethical performance of the project and the protection of the rights and welfare of human participants. I will supervise the student(s) in all correspondence with the REB throughout the application and clearance process, during the conduct of the research, and in the management of the resulting data following the completion of the research.

I understand that as **Faculty Sponsor**, I have responsibility, as the University of Windsor representative, for the conduct of the study, the ethical performance of the project and the protection of the rights and welfare of human participants.

I agree to comply with the Tri-Council Policy Statement and all University of Windsor policies and procedures, governing the protection of human participants in research, including, but not limited to, ensuring that:

* the project is performed by qualified and appropriately trained personnel in accordance with REB protocol;
* no changes to the REB cleared protocol or consent form/statement are implemented without notification to the REB of the proposed changes and receipt of the subsequent REB clearance;
* significant adverse effects are promptly reported
* at a minimum, a progress report is submitted annually or in accordance with the terms of certification.

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|  Signature of Faculty Supervisor / Sponsor (circle one):       Date:        |